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Approved for use through 10/31/2002. OMB 0651-0032

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17698 U.S. PTO  
06/30/03

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	190250-1110
First Inventor	Jeffrey A. Aaron
Title	Network Firewall Policy Configuration Facilitation
Express Mail Label No.	EV 269334030 US

PTO  
1635

06/30/03

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents

**ADDRESS TO:**

Mail Stop: Patent Applications  
Commissioner for Patents  
P.O. box: 1450  
Alexandria, VA 22313-1450

21910  
06/30/03 APPLICANT REQUESTS EARLY PUBLICATION UNDER 37 CFR 1.219 (additional fee)

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See CFR 1.27	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below)	a. <input type="checkbox"/> Computer Readable Copy (CRF)
- Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed. Sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)	[Total Pages] 33
5. Oath or Declaration	[Total Sheets] 8
a. <input type="checkbox"/> Newly Executed (original or copy)	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 18 completed)	ii. <input type="checkbox"/> Paper
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	c. <input type="checkbox"/> Statements verifying identity of above copies
<b>ACCOMPANYING APPLICATION PARTS</b>	
9. <input type="checkbox"/> Assignment Papers (cover sheet & Documents(s))	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. <input checked="" type="checkbox"/> Other: <u>Jeffrey A. Aaron</u>	Other: <input type="checkbox"/> Unsigned Declaration of: <u>Jeffrey A. Aaron</u>

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: /

Group / Art Unit: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: the entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label

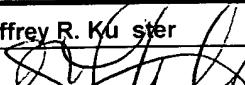
24504

or 

Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	Jeffrey R. Kuester Thomas, Kayden, Horstemeyer & Risley, L.L.P.		
ADDRESS	100 Galleria Parkway Suite 1750		
CITY	Atlanta	STATE	Georgia
COUNTRY	U.S.A.	TELEPHONE	770-933-9500
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Name (Print/Type)	Jeffrey R. Kuester	Registration No. (Attorney/Agent)	34,367
Signature			Date 0/30/03

17698  
06/30/03  
P.O.**FEET TRANSMITTAL  
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revisions.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1236.00)

**Complete If Known**

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Aaron
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	190250-1110

**METHOD OF PAYMENT (check all that apply)**

Check     Credit Card     Money Order     Other     None

Deposit Account

Deposit Account Number

20-0778

Deposit Account Name

Thomas, Kayden, Horstemeyer Risley, L.L.P.

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below     Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEES CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Paid
Fee Code	Fee Code	Fee Description
1001	2001	750 Utility Filing Fee
1002	2002	330 Design Filing Fee
1003	2003	520 Plant Filing Fee
1004	2004	750 Reissue Filing Fee
1005	2005	160 Provisional Filing Fee
SUBTOTAL (1)		(\$750)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Extra Claims	Fee From Below	Fee Paid
47 - 20** =	27 X 18.00 =	486
3 - 3** =	0 X 84.00 =	0
	280.00 =	0

**Large Entity**

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	Fee Description
1202	2202	18 Claims in excess of 20
1201	2201	84 Independent claims in excess of 3
1203	2203	280 Multiple dependent claim, if not paid
1204	2204	84 **Reissue independent claims over original patent
1205	2205	18 **Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$486)

**FEES CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)
1051	2051	130 65 Surcharge-late filing fee or oath	
1052	2052	50 25 Surcharge-late provisional filing fee or cover sheet	
1053	1053	130 130 Non-English specification	
1812	1812	2,520 2,520 For filing a request for ex parte reexamination	
1804	1804	920* 920* Requesting publication of SIR prior to Examiner action	
1805	1805	1,840* 1,840* Requesting publication of SIR after Examiner action	
1251	2251	110 55 Extension for reply within first month	
1252	2252	410 205 Extension for reply within second month	
1253	2253	930 465 Extension for reply within third month	
1254	2254	1,450 725 Extension for reply within fourth month	
1255	2255	1,970 985 Extension for reply within fifth month	
1401	2401	320 160 Notice of Appeal	
1402	2402	320 160 Filing a brief in support of an appeal	
1403	2403	280 140 Request for oral hearing	
1451	1451	1,510 1,510 Petition to institute a public use proceeding	
1452	2452	110 55 Petition to revive-unavoidable	
1453	2453	1,300 650 Petition to revive-unintentional	
1501	2501	1,300 650 Utility issue fee (or reissue)	
1502	2502	470 235 Design issue fee	
1503	2503	630 315 Plant issue fee	
1460	1460	130 130 Petitions to the Commissioner	
1807	1807	50 50 Processing fee for provisional application	
1806	1806	180 180 Submission of Information Disclosure Stmt	
8021	8021	40 40 Recording each patent assignment per property (times number of properties)	
1809	2809	750 375 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	750 375 For each add. invention to be examined (37 CFR 1.129(b))	
1801	2801	750 375 Request for Continued Examination (RCE)	
1802	1802	900 900 Request for expedited exam. of a design application	
Other fee (specify)			
SUBTOTAL (3)		(\$ 0)	

\*\*or number previously paid, if greater; For Reissues, see above

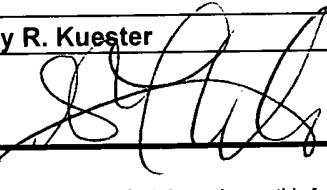
\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

Jeffrey R. Kuester

Registration No. 34,367

Complete (if applicable)

Typed or Printed Name	Signature	Telephone Number	Date
		770-933-9500	6/30/03

WARNING: Information on this form may become public. Credit Card Information should not

Be included on this form. Provide credit card information and authorization on PTO-2038

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 USC 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Aaron

For: Network Firewall Policy Configuration Facilitation

**CERTIFICATE OF EXPRESS MAIL**

Mail Stop: Patent Applications  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed for filing in the above case are the following documents:

Return Postcard  
Utility Transmittal Page  
Unsigned Declaration of: Jeffrey A. Aaron  
Fee Transmittal  
Credit Card Authorization, authorizing \$1236.00  
Specification (24 pages)  
Claims 1-47 (8 pages)  
Abstract (1 page)  
Figures 1-5D (8 pages)

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

Respectfully submitted,

**Jeffrey R. Kuester; Reg. No. 34,367**



THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.  
100 Galleria Parkway, N.W.  
Suite 1750  
Atlanta, Georgia 30339-5948

Our Docket No: **190250-1110**

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I hereby certify that all correspondences listed above are being deposited for delivery to the above addressee, with the United States Postal Service "**EXPRESS MAIL POST OFFICE TO ADDRESSEE**" service under 37 CFR §1.10 on the date indicated below:

The envelope has been given U.S. Postal Service "Express Mail Post Office To Addressee" Package # **EV 269334030 US**.

Date: 6/30/03



Jeffrey R. Kuester